

Registration

Three ways to register:

1 Online

www.sec.state.ma.us/sct

2 Mail:

Fill out the attached form and mail to:

Massachusetts Securities Division
Attn: Senior Investor Education Forum
One Ashburton Place, Room 1701
Boston, MA 02108

3 Phone:

(617) 727-3548 or (800) 269-5428

No Admission Charge

Free Parking Provided

**Registration Required by:
September 7, 2023**

Space is limited!

Questions:

Massachusetts Securities Division
(800) 269-5428 • (617) 727-3548
Email: Securities@Sec.state.ma.us

Sponsored by:



Directions to the Massachusetts Archives:

220 Morrissey Blvd., Boston, MA 02125

By Car - From the north: Rt. 3/I-93 to Exit 15 (Morrissey Blvd./JFK Library). Turn left at the bottom of the ramp. Follow signs for UMass Boston/JFK Library.

From the west: Rte. I-90 to Rte I-93 South. Follow signs for UMass Boston/JFK Library.

From the south: Rt. 3/I-93 to Exit 14 (Morrissey Blvd./JFK Library). Continue north on Morrissey Blvd. Follow signs for UMass Boston/JFK Library.

By MBTA - Take the MBTA Red Line to JFK/UMass station and board the UMass shuttle bus. Ask the driver to be dropped off at the Massachusetts Archives/JFK Library bus stop, which is about a two minute walk from the Archives building. The bus is free and runs every 5 minutes from the train station.

Parking - Free parking is available to attendees. Please indicate on registration form if you wish to park on site



Office of the Secretary of the Commonwealth
William Francis Galvin
Massachusetts Securities Division

Senior Investor Education Forum

"Retiree Issues In Focus"



Thursday, September 21, 2023
10:00 a.m. – 12:30 p.m.

at the
Massachusetts Archives /
Commonwealth Museum

Dear Senior Investor:

You are invited to attend the Massachusetts Securities Division's Senior Investor Education Forum - "Retiree Issues In Focus" at the Massachusetts Archives, from 10:00 am to 12:30 pm, on Thursday, September 21, 2023.

The protection of senior investors, including those who are retired or approaching retirement, is a top priority for my office.

Financial exploitation of seniors is a serious and growing problem. This is all too often the case as seniors approach or are in retirement. Seniors likewise face issues, whether in locating benefits or addressing more serious concerns.

Too often, risky and complex alternative investments are recommended to seniors who are reaching to get higher returns. For these reasons, it is important to check your financial adviser's background and know how to identify investment scams.

This Forum is designed to give seniors the tools they need to evaluate a financial professional and to provide resources to address common retiree investment issues.

The Forum is free, free parking will be available, and you are welcome to attend the tour of the Archives and Commonwealth Museum after the Forum.

Sincerely,
William Francis Galvin
Secretary of the Commonwealth

Topics Include

- Tips on how to avoid scams and how to check a salesperson's registration status and disciplinary record
- What questions you should ask before buying that "no-risk/high yield investment"
- Common pension issues and resources to address questions and concerns
- Where to get help and how to file a complaint

Forum Schedule

Thursday, September 21, 2023

10:00 a.m. – 10:05 a.m.

Welcome: Securities Division

10:05 a.m. – 11:05 a.m.

Panel One: Know Who is Managing your Investments, How to spot common investment scams, and Alternative Investments

11:05 a.m. – 12:00 noon

Guest Speaker: Paul Greenwood,
Elder Abuse Specialist

12:00 p.m. – 12:30 p.m.

Fireside Chat with Pension Action Center

After the Forum, for those who are interested:
Tour of Archives/Commonwealth Museum

Registration Form

2023 Massachusetts Securities Division's Senior Investor Education Forum - "Retiree Issues In Focus"

September 21, 2023, 10:00 a.m.–12:30 p.m.

Please complete the registration form and mail to:

**Massachusetts Securities Division
Attn: Senior Investor Education Forum
One Ashburton Place, Room 1701
Boston, MA 02108**

Register online at www.sec.state.ma.us/sct
or call (617) 727-3548 or (800) 269-5428

Space is limited

Name: _____

Address: _____

Telephone: _____

Email: _____

Your Senior Center or Council on Aging: _____

Please check if applicable:

Parking needed

Tour of Archives and Commonwealth Museum